

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L99999MH1938PLC002869

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACG6146L

(ii) (a) Name of the company

G. G. DANDEKAR MACHINE WC

(b) Registered office address

211 / A, MIDC, Buti Bori Industrial Area
Village Kinhi, Tal. Hingana, Dist. Nagpur
Nagpur
Maharashtra
441122

(c) *e-mail ID of the company

cs@ggdandekar.com

(d) *Telephone number with STD code

07104667300

(e) Website

www.ggdandekar.com

(iii) Date of Incorporation

08/12/1938

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2017 (DD/MM/YYYY) To date 31/03/2018 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 21/09/2018

(b) Due date of AGM 30/09/2018

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	20,000,000	4,761,387	4,761,387	4,761,387
Total amount of equity shares (in Rupees)	20,000,000	4,761,387	4,761,387	4,761,387

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	20,000,000	4,761,387	4,761,387	4,761,387
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	20,000,000	4,761,387	4,761,387	4,761,387

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	4,761,387	4,761,387	4,761,387	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	4,761,387	4,761,387	4,761,387	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	09/08/2017		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			106,000
Total			106,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

88,007,216

(ii) Net worth of the Company

403,009,181

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,866,320	60.2	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	150	0	0	
10.	Others	0	0	0	
	Total	2,866,470	60.2	0	0

Total number of shareholders (promoters)

12

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,759,237	36.95	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	135,680	2.85	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	1,894,917	39.8	0	0

Total number of shareholders (other than promoters)

2,470

**Total number of shareholders (Promoters+Public/
Other than promoters)**

2,482

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	15	12
Members (other than promoters)	2,702	2,470
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	1	0	1	0	0
B. Non-Promoter	1	4	1	4	0	0
(i) Non-Independent	1	1	1	1	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Nihal Kulkarni	01139147	Director	0	
Madhav Chandrachud	06419028	Director	0	
Rajesh Phadke	02749329	Director	0	
Saurabh Patwardhan	03056479	Director	0	
Savita Sahastrabudhe	06926402	Director	0	
Mangesh Joshi	07244555	Whole-time director	1	
Sanket Gunjekar	ALMPG0286B	CFO	0	03/12/2018

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SAURABH SOMANI	BHCPS6976D	Company Secretary	13/12/2017	CESSATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	09/08/2017	2,482	16	63.04

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/05/2017	6	6	100
2	09/08/2017	6	6	100
3	20/11/2017	6	6	100
4	13/12/2017	6	6	100
5	22/01/2018	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	09/05/2017	4	4	100
2	NRC	09/05/2017	3	3	100
3	AUDIT COMM	09/08/2017	4	4	100
4	AUDIT COMM	20/11/2017	4	4	100
5	NRC	20/11/2017	3	3	100
6	AUDIT COMM	22/01/2018	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	21/09/2018
								(Y/N/NA)
1	Nihal Kulkarni	5	5	100	0	0	0	No
2	Madhav Chan	5	5	100	6	6	100	Yes
3	Rajesh Phadk	5	5	100	6	6	100	Yes
4	Saurabh Patw	5	5	100	4	4	100	No
5	Savita Sahast	5	5	100	6	6	100	Yes
6	Mangesh Josh	5	5	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mangesh Joshi	Executive Direct	4,304,022	0	0	144,000	4,448,022
	Total		4,304,022	0	0	144,000	4,448,022

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sanket Gunjekar	Chief Financial C	815,504	0	0	26,544	842,048
2	Saurabh Somani	Company Secre	333,169	0	0	13,021	346,190
	Total		1,148,673	0	0	39,565	1,188,238

Number of other directors whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NIHAL. G. KULKAR	DIRECTOR	0	0	0	25,000	25,000
2	MADHAV R. CHAN	DIRECTOR	0	0	0	55,000	55,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
3	RAJESH D. PHADK	DIRECTOR	0	0	0	55,000	55,000
4	SAURABH B. PATV	DIRECTOR	0	0	0	45,000	45,000
5	SAVITA P.SAHASR	DIRECTOR	0	0	0	55,000	55,000
	Total		0	0	0	235,000	235,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

As per attached attachment

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MAHESH ATHAVALE

Whether associate or fellow

Associate Fellow

Certificate of practice number

1488

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

07244555

To be digitally signed by



- Company Secretary
- Company secretary in practice

Membership number

2412

Certificate of practice number

1488

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

GGD_MGT-8_2018.pdf GGD_Share Transfer Sheet_2018.pdf GGD_XI_Other Attachment.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of G.G. DANDEKAR MACHINE WORKS LIMITED (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March 2018 (the period under review). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has adequately complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as and when required, the Company has filed the forms MGT-14, MGT-15, AOC-4 XBRL with the Registrar of Companies within the prescribed time except few e-forms like MGT-7 for the FY 2016-17, IEPF-6, MGT-14, IEPF-1 and IEPF-4 were filed with additional fees and e-Forms IEPF-2 is yet to be filed. There were no instances for the filing of other forms and returns with the Central Government, Regional Director, Tribunal, Court or other authorities;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
 5. closure of Register of Members/Security holders, as the case may be;
 6. the Company has not given any loan/advance to its Directors and/or persons or firms or companies referred in Section 185 of the Companies Act;
 7. the Company has entered in contracts/arrangements with related parties as specified in section 188 of the Act during the period under review. However, all contracts/arrangements/transactions entered by the Company during the financial year with the related parties were in ordinary course of business and at an arm's length basis;
 8. issue or allotment or transfer or transmission or buy back securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificate in all instances.



However, the Company has not issued or allotted or bought back or redeemed preference shares or debentures or altered or reduced the Share Capital or converted any Securities during the period under review therefore the Company was not required to comply with the relevant provisions of the Companies Act, 2013;

9. Keeping in abeyance the right to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act. However, the Company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act.

During the period under review, the Company has not declared any dividend therefore the Company was not required to comply with the relevant provisions of the Companies Act, 2013. In relation to transfer of unpaid/unclaimed dividend/other amounts to the Investor Education and Protection Fund, the unclaimed dividend amount and shares was transferred with a delay. The Company has yet to file e-Form IEPF-2.

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them. However, Mr. Surabh Somani, Company Secretary, was resigned on 13th Dec. 2017. During the period under review, the Company was complied with the relevant provisions of the Companies Act, 2013;
13. appointment/reappointment/filling up casual vacancies of auditors as per the provisions of Section 139 of the Companies Act, 2013;
14. the Company was not required to obtain any approval from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the provisions of the Act.
15. Acceptance/renewal/repayment of deposits. However, the Company was not required to comply with the provisions of acceptance/ renewal/ repayment of deposits as the Company has not accepted/renewed/repaid any deposits;
16. Borrowing from its director, Members, public financial institutions, banks and other and creation/modification/satisfaction of charge in that respect, wherever applicable. However, the Company has not borrowed from its Directors, Public Financial Institutions, Banks and others therefore the Company was not required to comply with the relevant provisions of the Companies Act, 2013.
17. Loans and investments or guarantees give or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.

However, the company has not made any loans and investments or guarantees give or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.



18. Alteration of the provisions of the Memorandum and/or Article of Association of the Company.

However, the Company has not altered the provisions of the Memorandum and/or Articles of Association of the Company during the period under review;

For Kanj & Co. LLP,
Company Secretaries

Sd/-

Mahesh Athavale
Partner
FCS NO.: 2412
C.P. NO.: 1488



Place: Pune
Date: 31.12.2018

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
13/04/2017	1	270	000001	00M00246	MAULIK ROHIT MARTHAK	CD000001	CENTRAL DEPOSITORY S
17/04/2017	1	450	000001	00K00340	KAMAL RAJAN	ND000001	NATIONAL SECURITY DE
19/07/2017	1	900	000001	00P00204	MAFATLAL BECHARDAS P	ND000001	NATIONAL SECURITY DE
08/08/2017	1	450	000001	00H00034	HEMLATABEN P PATEL	ND000001	NATIONAL SECURITY DE
24/08/2017	1	630	000001	00M00358	MOHAN GAJANAN BAL	ND000001	NATIONAL SECURITY DE
31/08/2017	1	450	000001	00G00173	KUSUM GUPTA	ND000001	NATIONAL SECURITY DE
18/09/2017	1	86	000001	CD000001	CENTRAL DEPOSITORY S	00K00341	KIRTI L GANGAR
16/11/2017	1	630	000001	00K00334	KAVITA ANAND JOG	CD000001	CENTRAL DEPOSITORY S
01/01/2018	1	450	000001	00V00050	HINA PRAVIN VAKIL.	00H00035	HINA PRAVIN VAKIL.
02/02/2018	1	90	000001	00G00098	SHAILA NITIN GANU.	ND000001	NATIONAL SECURITY DE
19/02/2018	1	180	000001	00U00012	LATA SOMANATHA UPADY	ND000001	NATIONAL SECURITY DE
05/03/2018	1	1260	000001	00B00152	INDUMATI MADHAV BHAV	00M00361	MILIND MADHAV BHAVE
19/03/2018	1	70	000001	CD000001	CENTRAL DEPOSITORY S	00N00079	NEHAL K GANGAR
23/03/2018	1	450	000001	00H00035	HINA JAYESH DAMANIA	CD000001	CENTRAL DEPOSITORY S
26/03/2018	1	900	000001	00S00652	SIVARAMAN	ND000001	NATIONAL SECURITY DE

A. Whether the Company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year- **NO**

B. If No, give reasons/observations

1. filing of forms and returns as and when required, the Company has filed the forms MGT-14, MGT-15, AOC-4 XBRL with the Registrar of Companies within the prescribed time except few e-forms like MGT-7 for the FY 2016-17, IEPF-6, MGT-14, IEPF-1 and IEPF-4 were filed with additional fees and e-Forms IEPF-2 is yet to be filed. There were no instances for the filing of other forms and returns with the Central Government, Regional Director, Tribunal, Court or other authorities;
2. Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act.

During the period under review, the Company has not declared any dividend therefore the Company was not required to comply with the relevant provisions of the Companies Act, 2013. In relation to transfer of unpaid/unclaimed dividend/other amounts to the Investor Education and Protection Fund, the unclaimed dividend amount and shares was transferred with a delay. The Company has yet to file e-Form IEPF-2.