

**CODE OF CONDUCT FOR REGULATING, MONITORING AND
REPORTING OF TRADING BY INSIDERS OF
G. G. DANDEKAR MACHINE WORKS LIMITED**

1. Definitions

- 1.1 “**Act**” means the Securities and Exchange Board of India Act, 1992.
- 1.2 “**Board**” means the Board of Directors of G. G. Dandekar Machine Works Limited.
- 1.3 “**Code**” or “**Code of Conduct**” shall mean the Code of Conduct for Regulating, Monitoring and Reporting of trading by insiders of G. G. Dandekar Machine Works Limited as amended from time to time.
- 1.4 “**Company**” means G. G. Dandekar Machine Works Limited.
- 1.5 “**Compliance Officer**” means any Whole-time Director designated as such and / or the Company Secretary and / or such other senior officer, who is financially literate and is capable of appreciating requirements for legal and regulatory compliance under the Regulations (as hereinafter defined) designated so and reporting to the Board and who shall be responsible for compliance of policies, procedures, maintenance of records, monitoring adherence to the rules / codes for the preservation of unpublished price sensitive information, monitoring of trades and the implementation of the codes specified in the Regulations under the overall supervision of the Board.
- 1.6 “**Connected Person**” means:
- (i) any person who is or has during the six months prior to the concerned act been associated with a company, directly or indirectly, in any capacity including by reason of frequent communication with its officers or by being in any contractual, fiduciary or employment relationship or by being a director, officer or an employee of the Company or holds any position including a professional or business relationship between himself and the Company whether temporary or permanent, that allows such person, directly or indirectly, access to unpublished price sensitive information or is reasonably expected to allow such access.
 - (ii) Without prejudice to the generality of the foregoing, the persons falling within the following categories shall be deemed to be connected persons unless the contrary is established:
 - (a) an immediate relative of connected persons specified in clause (i); or
 - (b) a holding company or associate company or subsidiary company; or
 - (c) an intermediary as specified in Section 12 of the Act or an employee or director thereof; or
 - (d) an investment company, trustee company, asset management company or an employee or director thereof; or
 - (e) an official of a stock exchange or of clearing house or corporation; or

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- (f) a member of board of trustees of a mutual fund or a member of the board of directors of the asset management company of a mutual fund or is an employee thereof; or
- (g) a member of the Board of Directors or an employee of a public financial institution as defined in section 2(72) of the Companies Act, 2013; or
- (h) an official or an employee of a self-regulatory organization recognised or authorized by the Board; or
- (i) a banker of the Company; or
- (j) a concern, firm, trust, hindu undivided family, company or association of persons wherein a director of the Company or his immediate relative or banker of the Company, has more than ten per cent, of the holding or interest.

1.7 **“Dealing in Securities”** means an act of subscribing to, buying, selling or agreeing to subscribe, buy, sell or deal in the securities of the Company either as principal or agent.

1.8 **“Designated Persons”** shall include:

- i. all Directors;
- ii. Key Managerial Personnel
- iii. any other employee who in the opinion of the Compliance Officer may have access to unpublished price sensitive information.

1.9 **“Director”** means a member of the Board of Directors of the Company.

1.10 **“Employee”** means every employee of the Company including the Directors in the employment of the Company.

1.11 **“Generally available Information”** means information that is accessible to the public on a non-discriminatory basis.

1.12 **“Immediate Relative”** means a spouse of a person, and includes parent, sibling, and child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decisions relating to trading in securities.

1.13 **“Insider”** means any person who is,

- (i) a connected person; or
- (ii) in possession of or having access to unpublished price sensitive information.

1.14 **“Key Managerial Person”** means person as defined in Section 2(51) of the Companies Act, 2013.

1.15 **“Promoter”** shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 or any modification thereof:

1.16 **“Securities”** shall have the meaning assigned to it under the Securities Contract (Regulation) Act, 1956 (42 of 1956) or any modification thereof, except units of a mutual fund;

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- 1.17 "**Takeover Regulations**" means the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and any amendments thereto;
- 1.18 "**Trading**" means and includes subscribing, buying, selling, dealing, or agreeing to subscribe, buy, sell, deal in any securities, and "trade" shall be construed accordingly.
- 1.19 "**Trading Day**" means a day on which the recognized stock exchanges are open for trading;
- 1.20 "**Trading Window**" shall mean a trading period for trading in the Company's Securities.
- 1.21 "**Unpublished Price Sensitive Information**" means any information, relating to company or its securities, directly or indirectly, that is not generally available which upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily including but not restricted to, information relating to the following:
- (i) financial results;
 - (ii) dividends;
 - (iii) change in capital structure;
 - (iv) mergers, de-mergers, acquisitions, delistings, disposals and expansion of business and such other transactions;
 - (v) changes in key managerial personnel; and
 - (vi) material events in accordance with the listing agreement
- 1.22 "**Regulations**" shall mean the Securities & Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and any amendments thereto.

Words and expressions used and not defined in these regulations but defined in the Securities and Exchange Board of India Act, 1992 (15 of 1992), the Securities Contracts (Regulation) Act, 1956 (42 of 1956), the Depositories Act, 1996 (22 of 1996) or the Companies Act, 2013 (18 of 2013) and rules and regulations made thereunder shall have the meanings respectively assigned to them in those legislation.

2. Role of Compliance Officer

- 2.1 The Company shall have a Compliance Officer who shall be responsible for the implementation of this Code and the Securities & Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.
- 2.2 The Compliance Officer shall report on insider trading to the Board of Directors of the Company and in particular, shall provide reports to the Chairman of the Audit Committee and to the Chairman of the Board of Directors, at such frequency as may be stipulated the Board of Directors.
- 2.3 The Compliance Officer shall assist all Designated Persons in addressing any clarifications regarding the Regulations and the Code.

3. Restrictions of Communication and Trading by Insiders

3.1 Communication or procurement of unpublished price sensitive information:

All information shall be handled within the Company on a need-to-know basis and no unpublished price sensitive information shall be communicated to any person except in furtherance of the Insider's legitimate purposes, performance of duties or discharge of his / her legal obligations.

Unpublished price sensitive information may be communicated, provided, allowed access to or procured, in connection with a transaction which entails:

- an obligation to make an open offer under the takeover regulations where the Board is of the informed opinion that the proposed transaction is in the best interests of the Company; or
- not attracting the obligation to make an open offer under the Takeover Regulations but where the Board is of the informed opinion that the proposed transaction is in the best interests of the Company and the information that constitute unpublished price sensitive information is disseminated to be made generally available at least two trading days prior to the proposed transaction being effected in such form as the Board may determine.

3.2 The Company shall execute agreements for confidentiality and non-disclosure obligations with parties viz. auditors, consultants, lawyers, advisors and such parties who may have access to UPSI in order to keep information so received confidential, except for the limited purpose and shall not otherwise trade in securities of the Company when in possession of unpublished price sensitive information.

3.3 Need to Know:

3.3.1 “need to know” basis means that UPSI should be disclosed only to those within the Company who need the information to discharge their duty and whose possession of such information will not give rise to a conflict of interest or appearance of misuse of the information.

3.3.2 All non-public information directly received by any employee should immediately be reported to the Compliance Officer.

3.4 Limited access to confidential information

Files containing confidential information shall be kept secure. Computer files must have adequate security of login and password, etc.

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3.5 Trading when in possession of unpublished price sensitive information.

1. No insider shall trade in securities that are listed or proposed to be listed on a stock exchange when in possession of unpublished price sensitive information;

Provided that the insider may prove his innocence by demonstrating the circumstances including the following:-

- i. the transaction is an off-market inter-se transfer between promoters who were in possession of the same unpublished price sensitive information without being in breach of this Code and both the parties had made a conscious and informed trade decision:
 - ii. in the case of non-individual insiders:
 - a. the individuals who were in possession of such unpublished price sensitive information were different from the individuals taking trading decisions and such decision-making individuals were not in possession of such unpublished price sensitive information when they took the decision to trade; and
 - b. appropriate and adequate arrangements were in place to ensure that the Code and the regulations are not violated and no unpublished price sensitive information was communicated by the individuals possessing the information to the individuals taking trading decisions and there is no evidence of such arrangements having been breached.
 - iii. the trades were pursuant to a trading plan set up in accordance with the procedure hereinafter specified.
2. In the case of connected persons the onus of establishing, that they were not in possession of unpublished price sensitive information, shall be on such connected persons.

4. Prevention of misuse of UPSI

DesignatedPersons/ Promoter in the Company shall be governed by an internal code of conduct governing dealing in securities.

5. Trading Plan

5.1 An Insider shall be entitled to formulate a trading plan for dealing in Securities of the Company and present it to the Compliance Officer for approval and public disclosure pursuant to which trades may be carried out on his behalf in accordance with such plan.

5.2 Trading Plan shall:

- (i) not entail commencement of trading on behalf of the Insider earlier than six months from the public disclosure of the plan;
- (ii) not entail trading for the period between the twentieth trading day prior to the last day of any financial period for which results are required to be announced by the

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- (iii) Company and the second trading day after the disclosure of such financial results; entail trading for a period of not less than twelve months;
 - (iv) not entail overlap of any period for which another trading plan is already in existence;
 - (v) set out either the value of trades to be effected or the number of securities to be traded along with the nature of the trade and the intervals at, or dates on which such trades shall be effected; and
 - (vi) not entail trading in securities for market abuse.
- 5.3 The Compliance Officer shall consider the Trading Plan submitted and assess the same from the point of view if it would lead to any potential violation of the Regulations and this Code and for this purpose shall be entitled to seek such other information, documents, undertakings, as may be necessary to help the Compliance Officer to take the appropriate decision on the Trading Plan.
- 5.4 The Compliance Officer shall monitor the implementation of the approved Trading Plan.
- 5.5 The Trading Plan once approved shall be irrevocable and the Insider shall mandatorily have to implement the plan, without being entitled to either deviate from it or to execute any trade in the securities outside the scope of the trading plan.
- 5.6 The implementation of the Trading Plan shall not be commenced, if at the time of formulation of the plan, the Insider is in possession of any unpublished price sensitive information and the said information has not become generally available at the time of the commencement of implementation.
- 5.7 The commencement of the Plan shall be deferred until such UPSI becomes generally available information.
- 5.8 The Insider shall not deal in the Securities of the Company, if the date of trading in Securities of the Company, as per the approved Trading Plan, coincides with the date of closure of Trading Window announced by the Compliance Officer.
- 5.9 The Compliance Officer shall notify the Trading Plan once he / she approves the same, to the stock exchanges on which the Securities are listed.

6. Trading Window and Window Closure

- 6.1
- i. The Compliance Officer shall close the Trading Window for such number of days as he / she thinks fit.
 - ii. When the Trading Window is closed, the Designated Persons shall not trade in the Company's securities.
- 6.2 The Compliance Officer shall intimate the closure of Trading Window to all the Designated Persons of the Company when he / she determines that a Designated Person

or class of Designated Persons can reasonably be expected to have possession of UPSI. Such closure shall be imposed in relation to such Securities to which such UPSI is applicable.

- 6.3 The Compliance Officer after taking into account various factors including the unpublished price sensitive information in question becoming generally available and being capable of assimilation by the market, shall decide the timing for re-opening of the trading window, however in an event it shall not be earlier than forty-eight hours after the information becomes generally available.
- 6.4 The trading window shall also be applicable to any person having contractual or fiduciary relationship with the Company, such as auditors, accountancy firms, law firms, analysts, consultants etc. assisting or advising the Company.

7 Pre-clearance of trades

- 7.1 All Designated Persons / Promoter, who intend to deal in the securities of the Company when the trading window is opened, should pre-clear the transaction. However, no Designated Person shall be entitled to apply for pre-clearance of any proposed trade if such Designated Person is in possession of UPSI even if the trading window is not closed and hence he shall not be allowed to trade. The pre-dealing procedure shall be hereunder:
- i. An application may be made in the prescribed Form (**Annexure 1**) to the Compliance officer indicating the estimated number of securities that the Designated Person / Promoter intends to deal in, the details as to the depository with which he has a security account, the details as to the securities in such depository mode and such other details as may be required by any rule made by the Company in this behalf.
 - ii. An undertaking (**Annexure 2**) shall be executed in favour of the Company by such Designated Person / Promoter incorporating, *inter alia*, the following clauses, as may be applicable:
 1. That the Designated Person / Promoter does not have any access or has not received any "Unpublished Price Sensitive Information" up to the time of signing the undertaking.
 2. That in case the Designated Person / Promoter has access to or receives any "Unpublished Price Sensitive Information" after the signing of the undertaking but before the execution of the transaction the Designated Person shall inform the Compliance Officer of the change in his position and that the Designated Person would completely refrain from dealing in the securities of the Company till the time such information becomes public.

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3. That the Designated Person / Promoter has not contravened the Code as notified by the Company from time to time and any amendments thereto.
 4. That the Designated Person / Promoter has made a full and true disclosure in the matter.
- iii. All Designated Persons / Promoter shall execute their order in respect of securities of the Company within seven trading days after the approval of pre-clearance (**Annexure 3**) is given. The Designated Person shall file within 2 (two) trading days of the execution of the deal, the details of such deal with the Compliance Officer in the prescribed form (**Form C**). In case the transaction is not undertaken, a report to that effect shall also be filed within 2 trading days from the end of the pre-clearance period. (**Annexure 4**).
 - iv. If the order is not executed within seven trading days after the approval is given, the Designated Person must pre-clear the transaction again.
 - v. All Designated Persons who buy or sell any number of shares of the Company shall not enter into an opposite transaction i.e. sell or buy any number of shares during the next six months following the prior transaction.
 - vi. In case of any contra trade executed, inadvertently or otherwise, in violation of such a restriction, the profits from such trade shall be liable to be disgorged for remittance to the Securities and Exchange Board of India (SEBI) for credit to the Investor Protection and Education Fund administered by SEBI under the Act.
 - vii. The Compliance Officer may grant relaxation from strict application of such restriction for reasons to be recorded in writing provided that such relaxation does not violate the Regulations.

8 Chinese Wall

- 8.1 To prevent the misuse of confidential information the Company shall adopt a "Chinese Wall" policy which separates those areas of the Company which routinely have access to confidential information, considered "inside areas" from other departments providing support services, considered "public areas".
- 8.2 The employees in the inside area shall not communicate any Price Sensitive Information to anyone in public area.
- 8.3 In exceptional circumstances employees from the public areas may be brought "over the wall" and given confidential information on the basis of "need to know" criteria, under intimation to the compliance officer.

9 Other Restrictions

- 9.1 The disclosures to be made by any person under this Code shall include those relating to trading by such person's immediate relatives, and by any other person for whom such person takes trading decisions.
- 9.2 The disclosures of trading in securities shall also include trading in derivatives of securities and the traded value of the derivatives shall be taken into account for purposes of this Code.
- 9.3 The disclosures made under this Code shall be maintained for a period of five years.

10 Reporting Requirements for transactions in securities Initial Disclosure

- 10.1 Every Promoter, Key Managerial Personnel and Director of the Company, within 30 days of these regulations taking effect, shall forward to the Company the details of all holdings in securities of the Company presently held by them including holdings of immediate relatives in the prescribed Form (**Form A**).
- 10.2 Every person on appointment as a key managerial personnel or a director of the Company or upon becoming a promoter shall disclose his holding of securities of the Company as on the date of appointment or becoming a promoter, to the Company including holdings of immediate relatives in the prescribed Form within 7 days of such appointment or becoming a promoter (**Form B**).
- 10.3 All Designated Persons shall be required to forward details of their security transactions annually by the April 15 of every year (**Annexure 5**).

Continual Disclosure

- 10.4 Every promoter, employee and director of the Company shall disclose to the Company the number of such securities acquired or disposed off within 2 trading days of such transaction if the value of the securities traded, whether in one transaction or a series of transactions over any calendar quarter, aggregates to a traded value in excess of Rs. 10 lakhs.

The disclosure (**Annexure C**) shall be made within 2 working days of:

- (a) the receipt of intimation of allotment of shares, or
- (b) the acquisition or sale of shares or voting rights, as the case may be

11 Disclosure by the Company to the Stock Exchange(s)

Within 2 trading days of the receipt of intimation under Clause 10.4, the Compliance Officer shall disclose to all Stock Exchanges on which the Company is listed, the information received.

12 Dissemination of Price Sensitive Information

- 12.1 No information shall be passed by Designated Persons by way of making a recommendation for the purchase or sale of securities of the Company.
- 12.2 Disclosure / Dissemination of Price Sensitive Information with special reference to analysts, media persons and institutional investors:

The following guidelines shall be followed while dealing with analysts and institutional investors:

- Only public information to be provided.
- At least two Company representatives be present at meetings with analysts, media persons and institutional investors.
- Unanticipated questions may be taken on notice and a considered response given later. If the answer includes price sensitive information, a public announcement should be made before responding.
- Simultaneous release of information after every such meet.

13 Penalty for contravention of the Code

- 13.1 Every Designated Person / Promoter shall be individually responsible for complying with the provisions of the Code (including to the extent the provisions hereof are applicable to his/her dependents).
- 13.2 Any Designated Person / Promoter who trades in securities or communicates any information for trading in securities, in contravention of this Code may be penalised and appropriate action may be taken by the Company.
- 13.3 Designated Persons/ Promoter who violate the Code shall also be subject to disciplinary action by the Company, which may include wage freeze, suspension, ineligibility for future participation in employee stock option plans, etc.
- 13.4 The action by the Company shall not preclude SEBI from taking any action in case of violation of SEBI (Prohibition of Insider Trading) Regulations, 2015.

14. Prohibition of Insider Trading Under Companies Act, 2013

Insider shall also comply with the relevant provisions of the Companies Act, 2013, as amended from time to time.

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ANNEXURE 1
SPECIMEN OF APPLICATION FOR PRE-DEALING APPROVAL PURSUANT TO
REGULATION 7.1 (i) OF THE CODE

Date:

To,
The Compliance Officer,
G. G. Dandekar Machine Works Limited,
Nagpur

Dear Sir/Madam,

Application for Pre-dealing approval in securities of the Company

Pursuant to the SEBI (prohibition of Insider Trading) Regulations, 2015 and the Company's **Code of Conduct for Regulating, Monitoring and Reporting of Trading by Insiders**, I seek approval to purchase / sale / subscription of _____ equity shares of the Company as per details given below:

1.	Name of the applicant	
2.	PAN	
3.	Designation	
4.	Folio No. / DP ID / Client ID No.	
5.	Number of securities held as on date	
6.	The proposal is for	1.Purchase of Securities 2.Subscription of Securities 3. Sale of Securities
7.	Estimated number of Securities proposed to be acquired / subscribed / sold	
8.	Price at which the transaction is proposed	
9.	Whether the proposed transaction will be through stock exchange or off-market deal	

I enclose herewith the form of Undertaking signed by me.

Yours faithfully,

Signature of Applicant

ANNEXURE 2

**FORMAT OF UNDERTAKING TO BE ACCOMPANIED WITH THE APPLICATION
FOR PRE-CLEARANCE PURSUANT TO REGULATION 7.1 (ii) OF THE CODE**

UNDERTAKING

Date:

To,
The Compliance Officer,
G. G. Dandekar Machine Works Limited,
Nagpur

I, _____, _____, being a designated person in terms of the Code of the Company, residing at _____, am desirous of dealing in _____ * shares of the Company as mentioned in my application dated _____ for pre-clearance of the transaction.

I further declare that I am not in possession of or otherwise privy to any unpublished Price Sensitive Information (as defined in the Company's Code of Conduct for prevention of Insider Trading (the Code) up to the time of signing this Undertaking.

In the event that I have access to or received any information that could be construed as "Price Sensitive Information" as defined in the Code, after the signing of this undertaking but before executing the transaction for which approval is sought, I shall inform the Compliance Officer of the same and shall completely refrain from dealing in the securities of the Company until such information becomes public.

I declare that I have not contravened the provisions of the Code as notified by the Company from time to time.

I undertake to submit the necessary report within two days of execution of the transaction / a 'Nil' report if the transaction is not undertaken.

If approval is granted, I shall execute the deal within 7 days of the receipt of approval failing which I shall seek pre-clearance.

I declare that I have made full and true disclosure in the matter.

Date :

Signature : _____

* Indicate number of shares

ANNEXURE 3

FORMAT FOR PRE- CLEARANCE ORDER BY COMPLIANCE OFFICER

To,
Name : _____
Designation : _____
Place : _____

This is to inform you that your request for dealing in _____ (nos) shares of the Company as mentioned in your application dated _____ is approved. Please note that the said transaction must be completed on or before _____ (date) that is within 7 days from today.

In case you do not execute the approved transaction /deal on or before the aforesaid date you would have to seek fresh pre-clearance before executing any transaction/deal in the securities of the Company. Further, you are required to file the details of the executed transactions in the attached format within 2 days from the date of transaction/deal. In case the transaction is not undertaken a 'Nil' report shall be necessary. You shall not execute a contra trade within 6 months from the date of your present trade.

Yours faithfully,
for G. G. Dandekar Machine Works Limited

COMPLIANCE OFFICER
Date: _____

Encl: Format for submission of details of transaction

ANNEXURE 4

FORMAT FOR DISCLOSURE OF TRANSACTIONS

(To be submitted within 2 days of transaction / dealing in securities of the Company)

To,
The Compliance Officer,
G. G. Dandekar Machine Works Limited,
Nagpur

I hereby inform that in furtherance of your Pre-Clearance Order dated _____ for Purchase/ Sale of _____ shares, I

- have not bought / sold/ subscribed any securities of the Company for the following reasons:
_____.
- have bought/sold/subscribed to _____ securities as mentioned below on ____ (date)

Name of holder	No. of securities dealt with	Bought/sold/subscribed	DP ID/Client ID /	Price (Rs.)

I agree to hold the above securities for a minimum period of six months. In case there is any urgent need to sell these securities within the said period, I shall approach the Compliance Officer for necessary approval. (*applicable in case of purchase / subscription*).

I declare that the above information is correct and that no provisions of the Company's Code and/or applicable laws/regulations have been contravened for effecting the above said transactions(s).

Date : _____
Name :

Signature : _____

ANNEXURE 5

INFORMATION PURSUANT REGULATION 10.3 OF THE CODE

Name of the insider :

Department :

Pan:

No. of shares held by me and my immediate relatives in the company as on _____are as under

	Relationship	Name	No. of shares held in the Company
A	Self		
B	Members of Hindu Undivided Family		
C	*Immediate Relatives		
1	Spouse		
2			
3			
4			
5			
6			
7			

*"Immediate Relative" means a spouse of a person, and includes parent, sibling, and child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decisions relating to trading in securities.

Signature

Name:

FORM A

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(1) (a) read with Regulation 6(2) of the Regulations]

Name of the Company: _____

ISIN of the Company: _____

Details of Securities held by Promoter, Key Managerial Personnel (KMP), Director and other such persons as mentioned in Regulation 6(2) of the Regulations.

Name, PAN No., CIN / DIN & address with contact nos.	Category of person (Promoters / KMP / Directors / Immediate Relatives / others etc.)	Securities held as on the date of regulation coming into force		% of shareholding	Open interest of the Future Contracts held as on the date of regulation coming into force		Open interest of the Option Contracts held as on the date of regulation coming into force	
		Type of security (for eg. – Shares, Warrants, Convertible Debentures, etc.	No.		Number of units (contracts * lot size)	Notional value in Rupee terms	Number of units (contracts * lot size)	Notional value in Rupee terms
1	2	3	4	5	6		7	

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Signature:

Designation:

Date:

Place:

FORM B

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(1) (b) read with Regulation 6(2) of the Regulations]

Name of the Company: _____

ISIN of the Company: _____

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2) of the Regulations.

Name, PAN No., CIN / DIN & address with contact nos.	Category of person (Promoters / KMP / Directors / Immediate Relatives / others etc.)	Date of appointment of Director / KMP or Date of becoming promoter	Securities held at the time of becoming Promoter / appointment of Director / KMP		% of shareholding	Open interest of the Future Contracts held as on the date of regulation coming into force		Open interest of the Option Contracts held as on the date of regulation coming into force	
			Type of security (for eg. – Shares, Warrants, Convertible Debentures, etc.	No.		Number of units (contracts * lot size)	Notional value in Rupee terms	Number of units (contracts * lot size)	Notional value in Rupee terms
1	2	3	4		5	6		7	

Note: “Securities” shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Signature:

Designation:

Date:

Place:

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2) of the Regulations]

Name of the Company: _____

ISIN of the Company: _____

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned as mentioned in Regulation 6(2) of the Regulations.

Name, PAN No., CIN / DIN & address of Promoter / Employee / Director with contact nos.	Category of person (Promoters / KMP / Directors / Immediate Relatives / others etc.)	Securities held prior to acquisition / disposal		Securities acquired / Disposed		% of shareholding		Date of allotment / acquisition / sale of shares specify		Date of intimation to company	Mode of acquisition (market purchase / public rights / preferential offer / off market / Inter-se transfer etc.)	Trading in derivatives (Specify type of contract, Futures or Options etc.)				Exchange on which the trade was executed
		Type of security (for eg. – Shares, Warrants, Convertible Debentures, etc.	No.	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.	No.	Pre transaction	Post transaction	From	To			Buy		Sell		
												Value	Number of units (contract * lot size)	Value	Number of units (contract * lot size)	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Signature:
 Designation:
 Date:
 Place